

Confirmation / Delegation of Signatory Authority

San Antonio Water System (SAWS)

Resource Protection and Compliance Division

Industrial Wastewater Pretreatment Program

2800 U.S. Hwy 281 North, San Antonio, TX 78212

Persons signing reports, applications, and certification statements pursuant to a SAWS Industrial Wastewater Discharge Permit must satisfy the signatory authority requirements set forth in 40 CFR 403.12(l). Anyone satisfying the requirements under §403.12(l)(1)(i), (1)(ii), or (2) is considered a primary authority. Signatory authority may also be delegated to another representative by an authorized individual.

If a primary authority will be signing reports, applications, and certification statements, he/she must complete Sections 1 and 2 below and return this form to the SAWS Permit Administrator. To delegate signatory authority to another representative, Section 3 must also be completed.

SECTION 1: Primary Signatory Authority Verification

_____ - _____ Facility Name _____
Industrial Wastewater Discharge
Permit Number _____ Street Address _____
City, State, Zip _____

SECTION 2: Primary Authority Signature

I certify that I qualify for signatory authority, as set forth in 40 CFR 403.12(l), based on the following criteria (see detail on back of form):

Check one: (1)(i) (1)(ii) (2)

_____ Title _____
Primary Authority Name (print)

_____ Date _____ Date of Birth _____
Primary Authority Signature

_____ Phone _____
Mailing Address

_____ Email _____
City, State, Zip

SECTION 3: Delegation of Signatory Authority (optional)

I hereby delegate signatory authority for: applications, compliance / self-monitoring reports, and/or certification statements submitted to the SAWS Industrial Pretreatment Program to:

_____ Title _____
Name

I further certify that the duly authorized representative has responsibility for: the overall operation of the facility from which the industrial discharge originates, or has overall responsibility for environmental matters for the company, as per 40 CFR 403.12(l)(3)(ii).

40 CFR 403.12(i) – Signatory Requirements for Industrial User Reports

All applications and reports required to be submitted to the Industrial Wastewater Pretreatment Program must contain the following certification statement as set forth in §403.6(a)(2)(ii),

“I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information including the possibility of fine and imprisonment for knowing violations.”

and shall be signed as follows:

- (1) By a responsible corporate officer, if the Industrial User submitting the reports required by §403.12(b), (d), and (e) is a corporation. For the purpose of this paragraph, a responsible corporate officer means:
 - (i) A president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function or any other person who performs similar policy- or decision-making functions for the corporation, or
 - (ii) The manager of one or more manufacturing, production, or operating facilities, provided the manager is authorized to make management decisions which govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations, and initiate and direct other comprehensive measures to assure long-term environmental compliance with environmental laws and regulations; can ensure that the necessary systems are established or actions taken to gather complete and accurate information for control mechanism requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures.
- (2) By a general partner or proprietor if the Industrial User submitting the reports required by §403.12(b), (d), and (e) is a partnership or sole proprietorship, respectively.
- (3) By a duly authorized representative of the individual designated in paragraph (1) or (2) of this section if:
 - (i) The authorization is made in writing by the individual described in paragraph (1) or (2) of this section;
 - (ii) The authorization specifies either an individual or a position having responsibility for the overall operation of the facility from which the Industrial Discharge originates, such as the position of plant manager, operator of a well, or well field superintendent, or a position of equivalent responsibility, or having overall responsibility for environmental matters for the company; **and**
 - (iii) The written authorization is submitted to the Control Authority.
- (4) If an authorization under paragraph (3) of this section is no longer accurate because a different individual or position has responsibility for the overall operation of the facility, or overall responsibility for environmental matters for the company, a new authorization satisfying the requirements of paragraph (3) of this section must be submitted to the Control Authority prior to or together with any reports to be signed by an authorized representative.